



(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
15.03.2000 Bulletin 2000/11

(51) Int Cl.7: G02B 6/293, G02F 1/31,
H04J 14/02, H04Q 11/00

(21) Application number: 99305932.8

(22) Date of filing: 26.07.1999

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 03.08.1998 US 128618

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(54) Add/drop filter for a multi-wavelength lightwave system

(57) A method and apparatus are disclosed for providing optical channel adding/dropping capability within a WDM filter-bypass device. The device comprises an optical filter, an optical switch, and means for receiving a control signal to configure the optical switch. When configured in a filter state, the switch routes the WDM

signal through the filter to drop, add or drop and add one or more pre-selected WDM channels. When configured in a bypass state, the WDM signal bypasses the filter. A series of filter-bypass devices may be interconnected to increase the number of optical channels that can be dropped or added.

Description**Field of the Invention**

[0001] This invention relates to a configuration of switched optical filters that is used to produce an optical wavelength division multiplexing (WDM) add/drop system.

Background of the Invention

[0002] In a long-haul, high capacity wavelength division multiplexing (WDM) optical network, the capability to remove and replace selective WDM channels at multiple add/drop nodes across the network is essential for a variety of value-added communications services including local access, interactive multimedia and wavelength leasing. Specifically, this capability requires dropping one or more optical channels at each add/drop network node from a multiplexed signal composed of a large number of such channels and, in some cases, substituting one or more optical channels that have the same carrier frequencies as the original channels.

[0003] WDM add/drop systems have been disclosed in the prior art using a complete frequency demultiplexing approach. Such systems have been constructed by pairing a 1xN channel demultiplexer, such as a so-called Dragone router, with an Nx1 passive channel combiner, and operating on a subset of the N channel interconnections between these two devices to remove and replace selective channels (a system of this sort is disclosed in U.S. Patent No. 5,526,153 issued to Glance on June 11, 1996, hereinafter referred to as the Glance '153 patent). In this configuration, an input signal composed of a plurality of multiplexed optical channels must first be fully demultiplexed to yield a sequence of individual optical channels. Thereafter, a subset of the demultiplexed channels is directed to circuitry where either a drop, add, or a drop and add of one or more of the individual channels can take place. Subsequently, the demultiplexed channels are directed to a multiplexer to produce a new plurality of multiplexed optical channels. Regardless of the number of optical channels to be added, dropped or dropped and added, the entire plurality of multiplexed optical channels must be demultiplexed and multiplexed. Although a large number of channels can be dropped and added using this configuration, it nonetheless presents several significant limitations.

[0004] When used in significant number over a long-haul WDM network, these paired demultiplexer/multiplexer add/drop nodes cause a substantial narrowing of the optical channel bandwidths. This narrowing can be further exacerbated by frequency misalignments between laser power sources and optical channel filters occurring, for example, due to temperature effects, polarization sensitivity and aging effects. Because the Dragone router allows some leakage of signals across adjacent channel paths, and introduces signal phase

differences among the varying length channel paths, multipath interference is introduced. This interference can cause significant fluctuation in the power distributions of channels delivered through each demultiplexer/multiplexer pair (see, e.g., D.A. Fishman, D.G. Duff, J. A. Nagel, "Measurements and Simulation of Multipath Interference for 1.7-Gb/s Lightwave Transmission Systems Using Single and Multifrequency Lasers," Vol. 8, No. 6. *J. of Lightwave Tech.*, June 1990). Signal power

5 loss across each demultiplexer/multiplexer pair can be substantial, requiring insertion of costly optical amplifiers at an increased number of points in the network.

[0005] Channel bandwidth narrowing can be reduced by employing fiber-grating based selective filters that avoid demultiplexing and multiplexing the full set of WDM optical channels (see, e.g., H. Okayama et al., "Dynamic wavelength selective add/drop node comprising fibre gratings and optical switches," Vol. 33, No. 5 of Electronic Letters, pp. 403-404, February 27, 1997).

10 However, insertion losses and costs for these filters can be significant when used to drop and add more than a few channels. And signal dispersion can be problematic in fiber-grating based filter configurations optimized for adjacent channel rejections.

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Summary of the Invention

[0006] Cost and insertion loss are significantly reduced over the current art in a filter-bypass system that 30 consists of a series of switched filter-bypass devices at each add/drop node. The filters in these filter-bypass devices are individually designed to act upon one or more pre-selected channels in composite WDM signal, and the composite signal is switched to the input of a particular filter only when a corresponding channel is intended to be dropped and/or replaced. Otherwise, the composite signal bypasses the filter to reach subsequent filter-bypass devices in the series. Each device includes a switch capable of assuming several positions for routing 35 the channels, and a filter for extracting (dropping) and inserting (adding) selected channels. Associated with each system is a controller coupled to the switch in each filter-bypass device for the purpose of selecting a desired routing position for that switch.

[0007] The inventive system provides several advantages over the prior art. Unnecessary signal processing of optical channels that are not being dropped or added is eliminated, thereby reducing the bandwidth narrowing, noise generation and power losses associated with 40 prior art multiplexer/demultiplexer-based systems. In a preferred embodiment of the invention, the system employs thin-film filter technology to provide a significant improvement over fiber-grating based selective filter systems (see, e.g., H. Okayama et al., "Dynamic wavelength selective add/drop node comprising fibre gratings and optical switches," Vol. 33, No. 5 of Electronic Letters, p.p. 403-404, February 27, 1997) by eliminating the 45 need for optical circulators and by reducing the required

number of optical switches. As a result substantial reductions in cost, dispersion and insertion loss are achieved.

Brief Description of the Drawing

[0008] The invention will be more fully understood from the following detailed description of an illustrative embodiment taken in connection with the appended figures in which:

FIG. 1a shows a schematic diagram of an illustrative embodiment of the present invention, with the filter-bypass switch configured to a filter state;

FIG. 1b shows a schematic diagram of the embodiment of FIG. 1a, with the switch in a bypass state; FIG. 2 shows a schematic diagram of a filter embodiment suitable for use in device embodiments 1a and 1b;

FIG. 3a and 3b show schematic diagrams for two series of switched filter-bypass devices in accordance with the principles of the present invention; and

FIG. 4 shows a schematic diagram of a WDM add/drop capability in a long-haul optical network, comprising a number N of add/drop systems at nodes across the network.

Detailed Description Of The Invention

[0009] An optical wavelength division multiplexing (WDM) add/drop filter-bypass system provides selective optical channel adding/dropping capability for a multiplexed signal composed of a number of such channels. The filter-bypass system is a structure comprising a series of switched filter-bypass devices. Each device includes an optical switch and an optical filter, and is responsive to a control signal such that an inputted multiplexed optical signal either is routed to or bypasses the optical filter via the optical switch.

[0010] In each device, an optical filter is provided having a spectral characteristic related to one or more pre-selected optical channels. This characteristic enables the filter to extract (drop), insert (add), or drop and add each of these pre-selected optical channels to the multiplexed optical signal. If no channels are intended to be filtered, the device causes the multiplexed signal to bypass the filter via the optical switch. Furthermore, this add/drop capability is accomplished without the need to demultiplex the entire multiplexed signal or to pass the signal through non-activated filters as in the prior art. To better explain the advantages provided by this device over the prior art, two prior art WDM add/drop systems are briefly reviewed.

[0011] One prior art WDM add/drop system employs a 1xN channel demultiplexer, such as a so-called Dragone router, paired with an Nx1 passive channel combiner (see, e.g., the Glance '153 patent). A WDM signal con-

sisting of a plurality of N multiplexed channels is first input to the demultiplexer, which demultiplexes this signal and provides a signal to each of N outputs, each output signal consisting primarily of one of the N channels.

5 The system includes means to selectively drop optical channel signals from each output, and to selectively add optical channel signals to each output. After these signals are dropped and added, each of the outputs are input to the combiner, which multiplexes all of the signals from the outputs to produce a WDM signal consisting of a new plurality of optical channel signals. The optical multiplexers and demultiplexers used in such add/drop systems are further described in U.S. Pat. Nos. 5,002,350 and 5,136,671, issued to Dragone on March 26, 1991 and August 4, 1992, respectively.

[0012] In operation, such an arrangement suffers from several deficiencies. In particular, channel bandwidth narrowing and cross-talk can be severe in WDM add/drop applications constructed with many such systems. Multipath interference effects, caused by imperfect channel isolation and phase delays due to differences in individual optical path lengths, produce substantial noise and power penalties (see, e.g., D.A. Fishman, *op cit.*).

20 [0013] We have discovered that multipath interference can be reduced by employing a filter-based multiplexer in place of the passive coupler currently used in the demultiplexer/multiplexer pair. However, even with this substitution, the limitations associated with channel bandwidth narrowing and power loss remain. Channel narrowing is caused by subjecting the full plurality of channels to many stages of demultiplexing and multiplexing, and from a characteristic frequency misalignment between the laser wavelength signals and the optical filter passbands. This misalignment can occur, for example, from thermal effects, polarization sensitivity and aging. With the bandwidth and signal detection limitations of current systems, channel narrowing effects associated with this arrangement may severely limit the 25 number of useable add/drop nodes in an optical network to no more than about ten nodes. In addition, the filters added to each multiplexer also add a significant cost in multi-node network applications.

[0014] A second optical WDM add/drop system reduces the effects of channel narrowing by using a configuration incorporating fiber grating filters, optical circulators and optical switches (see H. Okayama et al., "Dynamic wavelength selective add/drop node comprising fibre gratings and optical switches," Vol. 33, No. 5 of 30 Electronic Letters, pp. 403-404, February 27, 1997). A WDM signal consisting of a plurality of optical channels is directed through an optical circulator to an optical switch, where either it is directed to a fiber grating filter or it bypasses this filter. If directed to the filter, one or more optical channels in the signal are reflected back by the filter and directed to the circulator to be dropped. The remaining channels are directed through a second switch and a second optical circulator. One or more 35

channels may be added to the filter through the second circulator and the second switch, where they are reflected forward into the WDM signal stream. This configuration can be interconnected in a series to provide the capability to drop and add multiple channels in a WDM signal stream.

[0015] Although this fiber-grating based add/drop system reduces channel narrowing, it adds significant cost and insertion loss for applications seeking a large number of add/drop channels per node. For example, insertion loss in decibels (dB) may be two to three times greater than for an equivalent add/drop system based on the Dragone multiplexer/demultiplexer pair. In addition, channels at wavelengths below the filtered channel may experience significant transmission losses resulting from cladding mode reflections, thereby limiting the effective use of fiber-grating filters to only a small subset of the WDM channels located at shorter wavelengths (see Turan Erdogan and Victor Mizrahi, "Fiber Grating Technology Grows," IEEE LEOS Newsletter, February 1993, pp. 14-18).

[0016] Long-haul optical network add/drop multiplexing of a significant number of add/drop nodes is improved by employing an optical WDM add/drop filter-bypass system consisting of a series of switched optical WDM filter-bypass devices. Based on a unique configuration and use of thin-film filter technology, these filter-bypass systems are able to avoid the bandwidth narrowing effects experienced in the demultiplexer/multiplexer pair configuration. In addition, these systems and are able to eliminate and replace elements used in the fiber-grating based configurations that contribute significant cost and loss. Furthermore, these systems do not experience the effects of cladding mode reflections that limit the range of channels that can be dropped and/or added.

[0017] FIGS. 1(a) and 1(b) show a functional illustration of a filter/bypass device 100 of the type employed in the present invention. Filter/bypass selection is enabled by an optical switch 102. In general, the switch 102 may have N inputs, for receiving optical signals, and M outputs, for transmitting optical signals. For example, the switch 102 shown in FIG. 1(a) has two inputs 107 and 118 and two outputs 108 and 109. Consistent with a series arrangement of interconnected filter-bypass devices (see FIGS. 3(a) and 3(b)), the switch 102 can be configured to route the optical signals to a filter state or to a bypass state via a control signal 106. The control signal 106 may be generated by any conventional means including, but not limited to: 1) local control, such as a central office network control operator; 2) remote control, such as a network control center; 3) automated through the use of dynamic network reconfiguration software; or 4) manual control. A 2X2 single-mode fiber-optic switch suitable for this purpose may be obtained from DiCon Fiberoptics, Inc. of Berkeley, California.

[0018] In FIG. 1(a), the WDM filter-bypass device 100 comprises the combination of an optical switch 102, an

optical filter 104, a control signal 106 and a number of optical inputs and outputs. The switch 102 is configured for operation in the filter state. An optical multiplexed signal $\lambda_1 \lambda_2 \dots \lambda_n$ is received by the switch input 107. The

5 switch 102, responding to the control signal 106, is switched into a filter state. As a result, the multiplexed signal $\lambda_1 \lambda_2 \dots \lambda_n$ is switched from the switch input 107 to the switch output 108, which is in turn is coupled to a filter input 110 via an optical link 111, thereby causing the multiplexed signal $\lambda_1 \lambda_2 \dots \lambda_n$ to be processed by the filter 104. After the filter 104 drops the frequency channel λ_3 via a filter output 112, and adds frequency channel λ_3' via a filter input 114, the multiplexed signal $\lambda_1 \lambda_2 \lambda_3' \lambda_n$ is provided to a filter output 116. This output 116 is in turn coupled to the switch input 118 via optical link 113. Thereby, the signal reaches the switch input 118 and is switched by the switch 102 to the output 108.

[0019] FIG. 1(b) illustrates operation of the device 100 in the bypass state. An optical multiplexed signal $\lambda_1 \lambda_2 \dots$

20 λ_n is received by the input 107 of the filter-bypass device 100. In response to the control signal 106, the switch 102 is switched into a bypass state and the multiplexed signal is switched directly to the switch output 108, thereby causing the multiplexed signal $\lambda_1 \lambda_2 \dots \lambda_n$ to bypass the filter 104. Accordingly, the switch 102 in the bypass state eliminates any unnecessary processing of the multiplexed signal $\lambda_1 \lambda_2 \dots \lambda_n$.

[0020] The filter 104 shown in FIGS. 1(a) and 1(b), may have N inputs, for receiving optical signals, and M 30 outputs, for transmitting optical signals. The active filter elements of the filter 104 can be of the thin-film filter type.

In FIG 2, for example, the filter 104 has two inputs 110 and 114 and three outputs 112, 116 and 120. Thin film filter elements 122, 124, 126 are designed to be effective at respective pre-selected channel frequencies, and are coupled to collimator 128. The filters operate by causing pre-selected frequencies to be transmitted through the filters, and by causing other frequencies to 40 be reflected by these elements. Suitable thin-film filters may be obtained, for example, from Oplink Communications Inc. of Hoboken, New Jersey, USA as Part No. IBPF-5LT-16-1.

[0021] The thin-film filter illustrated in FIG. 2 is configured to drop two selected optical channels and to add one selected optical channel. It will be apparent to those skilled in the art that many other filter combinations are also possible.

[0022] Details about the operation and fabrication of 50 such thin-film filters are well known to persons skilled in the art (See M.A. Scobey, D.E. Spock, OCA/Optical Corporation of America, Marlborough, Massachusetts, "Passive DWDM components using MicroPlasma® optical interference filters," OFC '96 Technical Digest pg. 242-243). Thin film filters exploit the interference characteristics of optical waves in order to cause some frequencies in an optical signal to be reflected by the filter elements, and to cause others to be transmitted through

the filter elements. Each filter element typically comprises a resonant cavity composed of a multiple number of dielectric thin film layers. Each layer is of a specified thickness (typically equal to one quarter of the optical wavelength to be reflected). Layers of differing thicknesses are stacked in a predetermined order so that a broad range of frequencies may be reflected by each filter element.

[0023] In addition to the preferred embodiment of the WDM filter-bypass device illustrated in FIGS. 1(a) and 1(b), there may be many alternative configurations of the WDM filter-bypass device, all of which are contemplated herein. For example, the two by two (2X2) optical switch illustrated in FIGS. 1(a) and 1(b) can be configured such that each filter-bypass device subsequent to the first such device in the filter-bypass series receives as one input the path carrying the bypassed WDM signal for the prior device, and receives as a second input the path carrying the filtered signal from the prior device (see, e.g., FIG. 4(a)). Additionally, for example, the single 2X2 switch used in Figures 1(a) and 1(b) can be replaced by a configuration employing two one by two (1X2) switches, two 2X2 switches, or either one 1X2 or one 2X2 switch in combination with a Y-branch wave-guide combiner. As previously noted, the thin film filter may have one or more ports for dropping optical channels and one or more ports for adding optical channels.

[0024] In anticipated applications of this invention, a number of optical WDM add/drop systems are deployed at a number of nodes 152, 153 across a long-haul optical telecommunications system 150 (see FIG. 3). The system at each node 152, 153 is comprised of a series of switched filter-bypass devices. Optical signals in a plurality of optical channels enter the long-haul system 150, and are combined at multiplexer 156 to form a WDM signal. This signal is transported to nodes 152, 153. Upon exiting each node, these signals may be regenerated by optical amplifiers 154, 155. The filter-bypass devices forming the add/drop systems at each node 152, 153 can be interconnected in a number of ways (two preferred embodiments are illustrated in FIGS. 4(a) and 4(b)).

[0025] In FIG. 4(a), consisting of filter-bypass devices 200-206 the optical multiplexed frequency channel signal $\lambda_1 \lambda_3 \dots \lambda_n$ is directed to the add/drop node input 208 of bypass-filter device 200. Responsive to the control signal 210, the switch 212 is switched into a filter state and the optical multiplexed frequency signal $\lambda_1 \lambda_3 \dots \lambda_n$ is processed by the filter 214.

[0026] In this embodiment, the control signals 210, 228, 230, 232, operate in a coordinated manner. Specifically, for example, the control signal used to select the configuration of switch 216 in device 202 is determined based both on the desired state for device 202 (filter or bypass) and on the current state of the previous device 200 as established by switch 212. This latter element is important to the configuration of switch 216, because it determines which of two inputs to switch 216

will receive the WDM signal from previous device 200. [0027] Consequently, the switch position of a bypass state and filter state may not be the same in each of the filter-bypass devices 200-206. Moreover, filter-bypass device 206 may advantageously be coupled to a two by one (2X1) switch or a Y-branch wave-guide combiner 234 to provide a single output of the set of filter-bypass devices 200-206.

[0028] The filter 214 of FIG 4a, drops the frequency channel λ_1 and replaces it with the frequency channel λ_1' to produce the multiplexed frequency signal $\lambda_1' \lambda_3 \dots \lambda_n$. Thereafter, the optical multiplexed frequency signal $\lambda_1' \lambda_3 \dots \lambda_n$ is directed to the bypass-filter device 202, in which a similar process is repeated. However, in the present example illustrated by FIG. 4(a), the filter 218 adds a frequency channel λ_2 absent from the input signal, and a multiplexed frequency signal $\lambda_1' \lambda_2 \lambda_3 \dots \lambda_n$ is produced. The multiplexed frequency signal $\lambda_1' \lambda_2 \lambda_3 \dots \lambda_n$ is then directed to the bypass-filter device 204 in which a similar process is repeated. However, in the example of FIG. 4(a), the filter 222 drops the frequency channel λ_3 and a multiplexed frequency signal $\lambda_1' \lambda_2 \dots \lambda_n$ is produced. This process is similarly repeated through remaining filter-bypass devices 206.

[0029] FIG. 4(b) shows an alternate node configuration, consistent with the device embodiment illustrated in FIGS. 1(a) and 1(b). The operation of this configuration is similar to that of the configuration in FIG. 4(a), with the exception of the routing of the filter outputs. In FIG. 4(b), the signal $\lambda_1 \lambda_3 \dots \lambda_n$ produced by the filter 214 is not directly routed to the switch 216, but is instead routed to a second input of the switch 208, which then routes this signal on to the switch 216. This process is repeated through the filter-bypass devices 206, and has the advantage of limiting the switch in each filter-bypass device to one external input and one external output. This limitation simplifies the process of determining the appropriate switch configuration for signal routing between filter-bypass devices, and thereby the appropriate control signals 210, 228, 230 and 232.

[0030] Wavelength-selective optical WDM add/drop systems can eliminate the bandwidth narrowing problems of the paired demultiplexer/multiplexer combination. Based on current bandwidth requirements for channel detection in WDM systems, bandwidth narrowing currently limits the number of paired Dragone demultiplexer/multiplexer add/drop nodes that can be inserted into a long-haul system to about ten nodes. Because bandwidth narrowing in wavelength-selective systems such as the present filter-bypass device configuration is negligible, the number of filter-bypass device based nodes that can be inserted into a long-haul optical system is effectively unlimited by bandwidth narrowing effects.

[0031] Although also benefiting from limited bandwidth narrowing effects, the fiber-grating based selective add/drop system experiences insertion losses that are uniformly higher than losses for the paired Dragone

demultiplexer/multiplexer system and for the inventive filter-bypass device based system. Insertion losses for the inventive filter-bypass device based systems are generally lower than losses for the paired demultiplexer/multiplexer based systems, with loss performance for the filter-bypass system improving as the number of add/drop channels per node decreases. Based on current component element costs, the filter-bypass system is approximately one-half to one third as costly per node as the fiber-grating based selective add/drop system configuration, largely because the filter-bypass system configuration requires no optical circulators and fewer optical switches.

[0032] The exemplary embodiment of this method described above is but one of a number of alternative embodiments of the invention that will be apparent to those skilled in the art in view of the foregoing description. Accordingly, this description is to be construed as illustrative only, and is for the purpose of teaching those skilled in the art the best mode of carrying out the invention. Various other alternatives can be devised by a worker skilled in the art without departing from the teachings of this invention. For example, as previously mentioned, a variety of switch and/or combiner combinations can be employed to implement the switch function of the filter-bypass device. And a variety of thin film filter configurations, with varying numbers of ports allocated to add and drop channels, may be employed in the device as well.

Claims

1. A method for routing signals associated with a plurality of optical channels through a filter-bypass device in an Optical Wavelength Division Multiplexing Add/Drop system, the method comprising the steps of:

responding to a control signal to configure the filter-bypass device in a filter state or a bypass state;
when said device is in said bypass state, routing said signals associated with said plurality of optical channels from a switch input through said switch to a switch output; and
when said device is in said filter state, routing said signals associated with said plurality of optical channels from said switch input through said switch to an additional switch output, from said additional switch output to an optical filter input, and from said optical filter input through said filter to a filter output.

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selecting said optical filter to be a multi-port thin film filter having a spectral characteristic related to signals associated with at least one of said plurality of optical channels.

2. The method of claim 1, wherein said filter-bypass device is interconnected to an additional filter-bypass device, said method further including the steps of:

when said device is in said bypass state, routing said signals associated with said plurality of optical channels from said switch output in said device to a switch input in said interconnected device; and
when said device is in said filter state, routing said signals from said filter output to another switch input in said interconnected device.

15 3. The method of claim 1, wherein said filter-bypass device is interconnected to an additional filter-bypass device, said method further including the steps of:

when said device is in said bypass state, routing said signals associated with said plurality of optical channels from said switch output in said device to a switch input in said interconnected device;

when said device is in said filter state,

routing said plurality of channels from said filter output in said device to another switch input in said device;
routing said plurality of channels from said other switch input in said device through said switch to said additional switch output in said device; and
routing said plurality of channels from said additional switch output in said device to said first switch input in said interconnected device.

40 4. An add/drop optical multiplexing arrangement capable of dropping at least one channel of a wavelength division multiplexed signal, the arrangement comprising:

an optical switch responsive to a control signal both for directing the wavelength division multiplexed signal to a first output when the control signal is in a state indicative of adding/dropping said at least one channel and for directing the wavelength division multiplexed signal to a second output when the control signal is in a state indicative of bypassing the wavelength division multiplexed signal, and

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an optical filter including a multi-port thin film filter having a spectral response characteristic which substantially corresponds to the spectrum of the at least one channel, the optical filter coupled to the first output of the optical switch for extracting the at least one channel from the wavelength division multiplexed signal, said at least one channel sup-

plied to a first output of the optical filter.

5. The arrangement defined in claim 4 wherein the filtered wavelength division multiplexed signal is supplied to a second output of the optical filter. 5
6. The arrangement defined in claim 5 further including a Y-branch combiner having a first input coupled to the second output of the optical filter and a second input coupled to the second output of the optical switch, the combiner supplying the wavelength division multiplexed signal from either the switch or the filter to its output. 10
7. The arrangement as defined in claim 5 wherein the wavelength division multiplexed signal is supplied by the second optical filter output to a second input of the switch so that the wavelength division multiplexed signal can be switched to the second output of the switch after the at least one channel is 15 dropped by the filter.
8. The arrangement defined in claim 5, wherein the optical filter is further capable of inserting at least one channel into the wavelength division multiplexed signal, said at least one channel being supplied from a second input of the optical filter so that said wavelength division multiplexed signal supplied to the second output of the optical filter includes the inserted channel. 20 25 30

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FIG. 1A

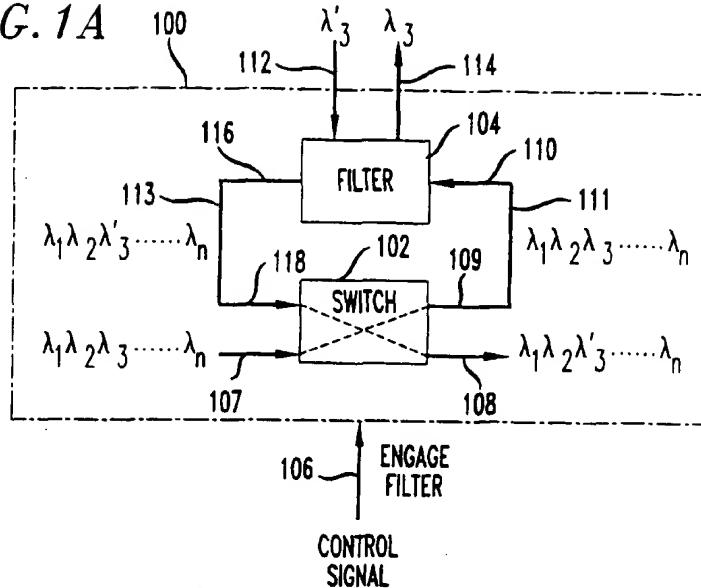


FIG. 1B

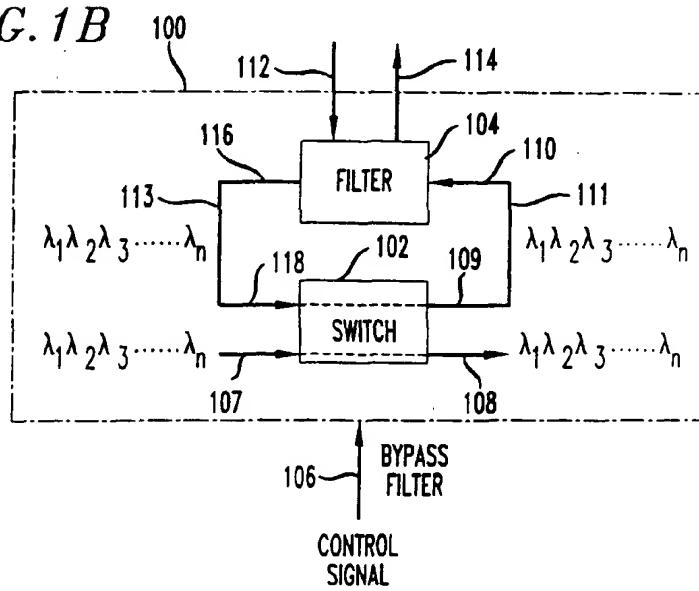


FIG. 2
FIVE PORT THIN FILM CHANNEL ADD/DROP FILTER

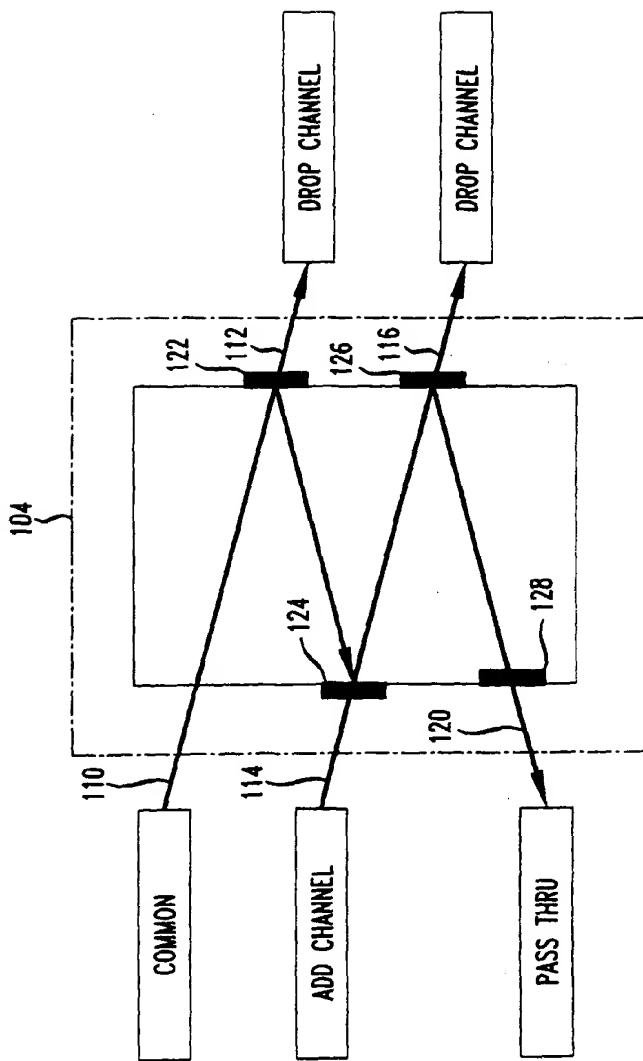


FIG. 3

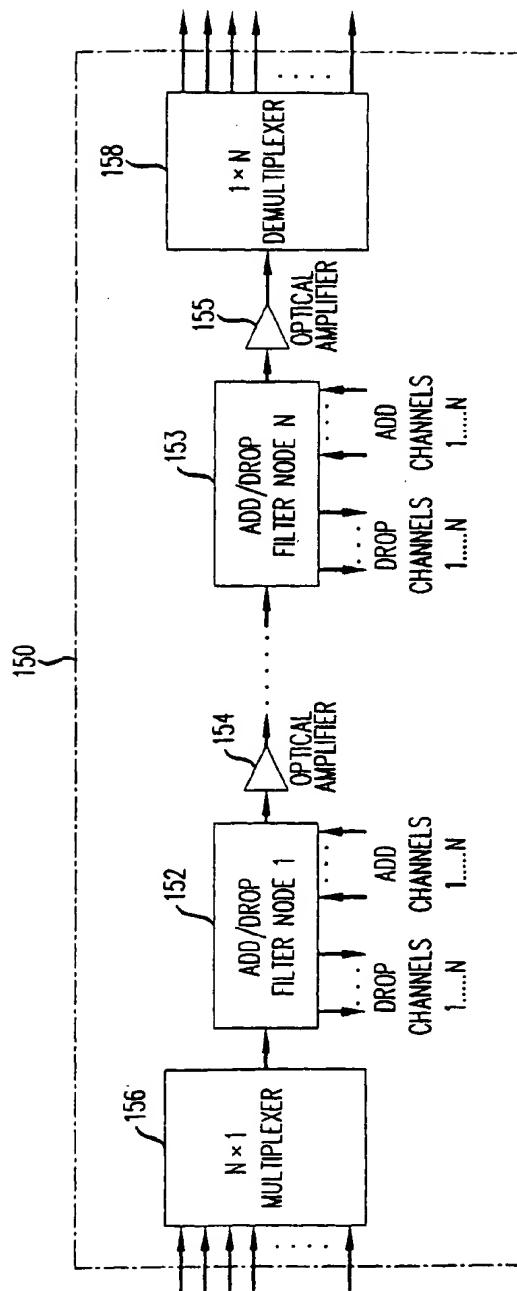


FIG. 4A

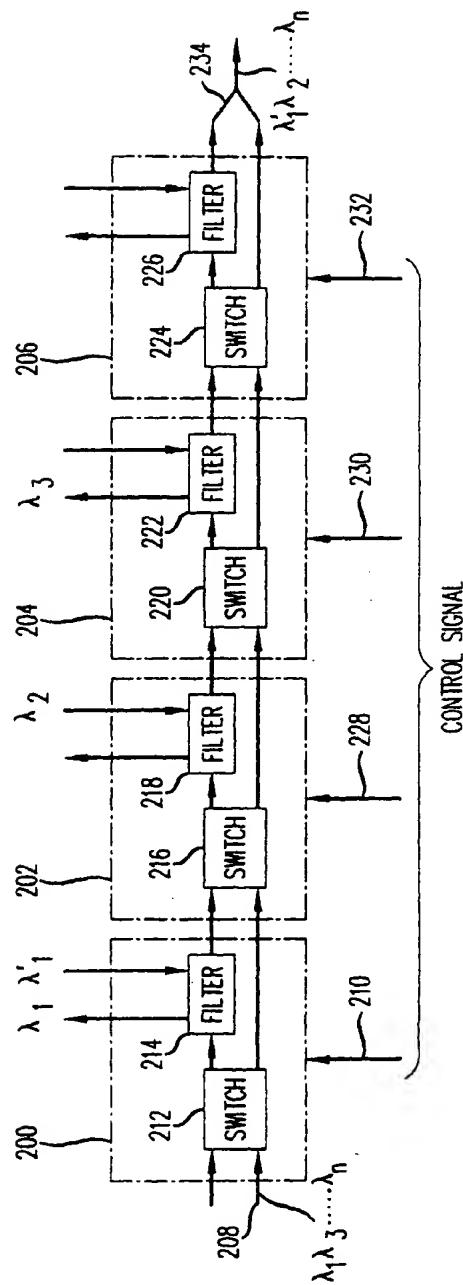


FIG. 4B

